

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Broussard et al.**§ Group Art Unit: **Unknown**

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Serial No.: \_\_\_\_\_

§ Examiner: **Unknown**

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Filed: \_\_\_\_\_

§ Attorney Docket No.: **AUS920030872US1**

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For: **Method and system for grid-enabled  
virtual machines with distributed  
management of applications**

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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

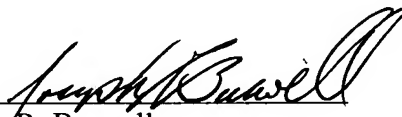
This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner.

In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. 1.56(a) exists.

Date: January 10, 2004

Respectfully submitted,

  
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## U.S. DEPT. OF COMMERCE PATENT AND TRADEMARK OFFICE

<b>Form PTO-1449</b> <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>			ATTORNEY DOCKET NO. <b>AUS920030872US1</b>		SERIAL NO.	
			APPLICANT <b>Broussard et al.</b>			
			FILING DATE		GROUP ART UNIT	

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/SUBCLASS	FILING DATE	

FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	

OTHER PRIOR ART (including author, title, date, pertinent page, etc.)	
	"Intel-based Sequent NUMA-Q <sup>™</sup> 2000 Platform Demonstrates New Levels of Power and Scalability in Mixed UNIX/NT Environment", Intel. Corp., <a href="http://www.intel.com/pressroom/archive/releases/sp82597b.htm">www.intel.com/pressroom/archive/releases/sp82597b.htm</a> , 08/25/1997.
	"Jini Network Technology--General FAQs", Sun Microsystems, Inc., <a href="http://www.sun.com/software/jini">www.sun.com/software/jini</a> , 1994.
	Getov et al., "Multiparadigm Communications in Java for Grid Computing", <i>Communications of the ACM</i> , v. 44, n. 10, pp. 118-125, 10/2001.
	Nieuwpoort et al., "Ibis: an Efficient Java-based Grid Programming Environment", <i>Proceedings of the 2002 joint ACM-ISCOPE conference on Java Grande (JGI '02)</i> , pp. 18-27, 11/2002.
	Villacis, "A Note on the Use of Java in Scientific Computing", <i>ACM SIGAPP Applied Computing Review</i> , v. 7, n. 1, pp. 14-17, Spring 1999.
	Fuad et al., "AdJava -- Automatic Distribution of Java Applications", <i>Proceedings of the Twenty-Fifth Australasian Conference on Computer Science (ACSC2002)</i> , pp. 65-75, 01/2002.
	Kielmann et al., "Enabling Java for High-Performance Computing", <i>Communications of the ACM</i> , v. 44, n. 10, pp. 110-117, 10/2001.

DATE CONSIDERED	EXAMINER
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<b>FOREIGN PATENT DOCUMENTS</b>						
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
<b>OTHER PRIOR ART (including author, title, date, pertinent page, etc.)</b>						
		Chen et al., "JVM for a Heterogeneous Shared Memory System", <i>Second Workshop on Caching, Coherence, and Consistency (WC3 '02) in conjunction with the 16th Annual ACM International Conference on Supercomputing (ICS 2002)</i> , 06/2002.				
		Aridor et al., "A high performance cluster jvm presenting a pure single system image", <i>Proceedings of the ACM 2000 JavaGrande Conference</i> , pp. 168-177, 06/2000.				
		Smith et al., "A Multithreaded Java Grande Benchmark Suite", <i>Third Workshop on Java for High Performance Computing in conjunction with the 15th Annual ACM International Conference on Supercomputing (ICS 2001)</i> , 06/2001.				
		Krishnaswamy et al., "Efficient Implementations of Java Remote Method Invocation (RMI)", <i>Proceedings of the 4th USENIX Conference on Object-Oriented Technologies and Systems (COOTS)</i> , pp. 19-27, 04/1998.				
		Ma et al., "JESSICA: Java-Enabled Single-System-Image Computing Architecture", <i>Journal of Parallel and Distributed Computing</i> , v. 60, n. 10, pp. 1194-1222, 10/2000.				
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